# Energy Efficiency Metrics in Cognitive Radio Networks: A Hollistic Overview

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Abstract: Due to the explosive progression in the number of users for new generation wireless communication networks which includes cognitive radio networks, energy efficiency has been a fundamental factor affecting its development and performance. In order to adeptly access and analyze the energy efficiency of a cognitive radio network, a standardized metric for this purpose is required. As a starting point, in this article we provided an analysis for energy efficiency metrics of a cognitive radio network in respect to its design and operation. The performance metrics and metrics developed at the different levels of a cognitive radio network are also studied. Establishing a comprehensive metric for evaluating, measuring and reporting the energy efficiency of cognitive radio networks is a crucial step in achieving an energy-efficient cognitive radio network.

*Keywords*: Cognitive Radio, Cognitive Radio Networks, Energy Efficiency, Energy Efficiency Metrics, Wireless Communication.

#### 1. Introduction

Cognitive radio (CR) has been presented in wireless communication as a enterprising and propitious technology for opportunistic spectrum access and usage. This will allow primary users (PU) or licensed users to share their spectral band with secondary users (SU) or unlicensed users only if the interference produced by these SUs is below a tolerable threshold thus creating an efficient spectrum utilization [1][2]. This method give rise to a substantial boost in the performance efficiency, networking efficiency, spectrum efficiency and also the overall energy efficiency of the system.

The main objective of a CRN is to maximise spectral efficiency by transmitting in vacant spectral bands. These vacant spectral bands usage is achieved through a coordinated mechanism performed by CRN functions such as spectrum sensing, spectrum hopping and dynamic spectrum access (DSA) [3]. These functions are usually considered as energy consuming operations and therefore impact on the energy consumption of the network and reduces the corresponding energy efficiency.

Energy efficiency (EE) in cognitive radio networks (CRN) is gradually gaining prominence and has received a lot of research interest lately as the network becomes more and more energy-demanding. This demand has been triggered by high energy cost and the need for green communications. EE is considered to encompass all other sub-system metrics so as to represent the overall performance of a cognitive radio (CR) system while taking into consideration the entire energy consumption, achievable throughput and the detection accuracy. The fusion of these different indicators into one single metric has branded the EE metric as a significant and important indicator of a good quality cognitive transmission [4].

Ensuring EE communications in CRN is however a daunting task as it is faced with great difficulty in satisfying the competitive demands of primary users (PUs) and CR users in the network. Significant amount of research including developmental efforts have been expended in the communications sector in order to provide more energy efficient solutions which will in turn lead to a greener wireless communication. It is imperative to accentuate the importance of EE metrics in cognitive radio networks as it provides measured and quantized information to calculate efficiency. Energy efficiency metrics are mostly used in different ways for various purposes but it is mostly used in comparing the efficiency performance and energy consumption of different systems or components of the same level. It can also be used to steer future research goals and developmental targets as regards energy efficiency.

There are various works that studied and investigated energy efficiency and the concept of energy efficiency metrics in CRN. In [5], the cognitive radio standardization requirements for energy efficiency was studied. The authors discussed ways in which standardization efforts can be implemented to ensure the employment of CR saves energy and minimize energy consumption. The authors in [6] analysed how a higher energy efficiency can be achieved in the spectrum sensing environment of cognitive radio networks under the centralized power allocation scheme. The number of secondary users was increased over the available number of channels to enhance energy efficiency and spectrum utilization. An approach used to measure as well as to better the sensing energy performance of CRNs was detailed in [7]. Also, a novel EE metric was proposed to determine the average sensing energy efficiency performance useful in assessing energy consumption and trade-off sensing gain in CRN. In [8], two transformation techniques were proposed to convert energy-efficiency optimization problem into a non-convex optimization problem. The Charnes-Cooper transformation and the Dinkelbach method was used to answer the parametric approach. Gandhi et al in [9] presented a study of energy efficiency metric for wireless where emphasis was placed on considerations and the direct impact of reductions of power and some performance indicators. A study of energy efficiency metrics for different components of green wireless communication systems was studied in [10]. The authors in [11] also presented a technique to determine the absolute energy efficiency metric that can be applied to any communication systems, subsystems and components. Also in [12], the energy efficiency and spectral efficiency of a cognitive radio channel operating under different power constriants in different types of fading environment was

analysed. A scheme to assess the energy-spectral efficiency tradeoff of cognitive radio based cellular networks was also studied.

Even though quite a lot of research efforts have been focused on energy efficiency and different energy efficiency metrics have been developed in wireless communications, less attention have been given to analysing energy efficiency metrics in CRN in terms of the system design and its operation. In this article, we show the energy efficiency metrics developed in cognitive radio networks with respect to its operational characteristics and design. This article is organised as follows. In section II, the concept of energy efficiency in cognitive radio is examined, section III presented an overview of energy efficiency metrics, section IV analyzed the taxonomy of EE metrics developed in different levels of a CRN, section V studied the performance metric of CRN and section VI concludes the paper.

# 2. The Concept of Energy Efficiency in Cognitive Radio Networks

Energy efficiency (EE) is considered to be a very important constraint limiting the design, operation and implementation of most wireless communication networks composing devices that are battery operated. It becomes even more demanding in wireless networks like the cognitive radio networks where energy maybe entirely non-renewable. In a typical cognitive radio network which basically comprises of cognitive radio nodes, base stations (BS) and backbone networks, network life time is a function of the energy use by the cognitive radio nodes and the energy consumption of the BS. Therefore, the way energy is expended for reception, transmission and all other related cognitive purposes is a primary ingredient affecting the network lifetime [13].

Since energy is considered as a major constraining resource for CRNs, the lifetime of the network is seen as a signitificant performance metric due to its relation to the energy used in processing and transmitting data also the energy disspated at different levels and components of the network. Putting these factors into consideration, energy efficiency must be taken as an important factor in every aspect of cognitive radio operation and design, not only for specific parts of the network but also for the whole network communication [14]. Lowering energy consumption in cognitive radio networks is progressively demanding greater attention and requires improved technologies and solutions to better the energy efficiency in the network. Cognitive radio technology is primarily proposed to tackle spectrum usage and scarcity issues, but due to its in-built properties that encourages high energy consumption makes it a vital aspect of research in the area of green communications. CR is basically characterized of an adaptive and autonomous multidimensionally aware radio system driven by a progresssive intelligent functionality, which relates with its operating environment and learn from its observations and experiences in order to reason, plan and decide future activities so as to meet various needs [15]. These characteristics leads to a substantial increment in the network's energy and spectral efficiency but yet contributes to an increased energy consumption in the

Cognitive radios usually sense for vacant spectrum band for communication using a spectrum sensing technique and they do this periodically in order to avoid interference with any reappearing primary user. So each frame is separated into different parts, one is used for sensing, reporting and other is used for transmission. The longer the time used in sensing, the better the sensing accuracy but the higher the energy consumption and the shorter the duration available for transmission. Energy efficiency can be increased if the sensing and transmission scheduling are performed in a way that a balance is provided between the sensing accuracy and transmission efficiency and also better energy efficiency.

Optimizing energy efficiency in cognitive radio networks is a very crucial step in ensuring high *Quality of service (QoS)* in the network. Optimizing energy efficiency will not only reduce its environmental impacts but also cuts overall network cost from the terminals to the base stations and also help makes communication a lot more affordable and practical in an ubiquitous setting.

### 3. Energy Efficiency Metrics

As energy efficiency metrics continue to gain popularity amongst researchers, various standard organisations like the Alliance for Telecommunications Industry Solutions (ATIS) and the European Technical Standards Institute (ESTI) have been making frantic efforts to present a generally acceptable definition for EE metrics for wireless networks [16] [17]. Energy efficiency metrics has been formally defined in [18] as the total number of bits which can be transmitted successfully with unit energy consumption. It can also be regarded as the ratio of the overall throughput to the energy consumed for a given transmission. EE metrics play a vital role in the comprehensive assessment of energy savings and performance of wireless communication systems. These metrics help in providing detailed information in making direct comparison of various components of a network and also assessing and measuring the energy consumption of the entire network. It also aids in setting various benchmarks in the realization of energy consumption reduction.

With various research activities been carried out relating to energy efficiency and also due to the intrinsic differences of various communication components imbedded in a communication network, it is difficult for a single metric to suffice. However, an accurate EE metric should comprise of all parameters relevant to the energy consumption necessary for communication, while putting into consideration the amount of data to be delivered under specified QoS requirements. Authors in [10],[19] and [20] defined an accepted and widely used measure for EE as

$$EE = \frac{Total \ amount \ of \ energy \ consumed}{Total \ amount \ of \ delivered \ data} Joule/bit.$$
 (1)

The inverse of (1),  $EE^i$  which is  $\frac{1}{EE}bit/joule$  has been adopted in [21]–[24]. We can now deduce that in optimizing energy efficiency, the measure of EE from (1) should be minimized while its inverse  $EE^i$  should be maximized. Also, another commonly used metric which is mostly used in accessing the energy efficiency of a wireless link is given in [25]. Its usage has also been employed in the assessment of the entire wireless network as seen in [26-29]. Let  $\Phi$  denotes the bit/joule efficiency of the network which is written as

$$\Phi = \frac{C_{net}}{P_{net}} \tag{2}$$

where  $C_{net}$  is expressed as the total network capacity measured in bit/s,  $P_{net}$  is expressed as the overall power consumed in the network measured in watts.

A different but generally recognised energy efficiency metric that relates power consumption and area is seen in [30-33]. It practically relates the overall power consumed by the network  $(P_{net})$  to the size of the area covered  $(\xi)$ . The

energy efficiency metric is denoted as  $\Psi$  is given as

$$\Psi = \frac{P_{net}}{\xi} \,. \tag{3}$$

The optimal energy efficiency can be attained when the metric is minimized in terms of  $\frac{W}{km^2}$  or maximized in terms

to bit/Joule.

For a modified metric which accounts for data rate and communication distance, bit meter/joule can be employed.

This metric refers to the efficiency of effectively conveying the bits over a measured distance towards the required destination per the unit of energy consumed [6].

Another metric for energy efficiency in systems when it is not continuous is seen in [34] as the average goodput over per unit average power transmitted is given by the formula

$$\eta = \frac{g_d}{P_{total}},$$
(4)

where  $P_{total}$  is expressed as the average power transmitted by secondary users and  $g_d$  is expressed as the average goodput also originating from the secondary users. The goodput can also be described as the number of bits transmitted successfully by an SU with the unit bit/s and can be expressed as

$$goodput(g_d) = \frac{(t_1 + t_2 + \dots + t_n)(1 - P_e)r}{T}$$
 (5)

where r is expressed as the data rate measured in bit/s,  $P_{\ell}$  is expressed as the packet error rate (PER), T is packet duration and  $t_i$  is expressed as the time interval or duration in which

an  $i^{th}$  SU is transmitting during the interval T.

A different metric known as the joint energy performance metrics (EPM) for ah-hoc networks that permits routing protocols to be evaluated for energy consumption and network performance was discussed in [35]. This metric captures the good behaviour of a communication system. The EPM for communication networks was defined in [35] adopting the equation below:

$$EPM(\alpha) = (Average \ Energy \ of \ the \ network)$$

$$(Average \ Performance \ of \ the \ network)^{-\alpha}$$
(6)

where  $\alpha$  is defined as the parameter that defines the tradeoff between energy and its performance. The overall energy of the entire nodes in the network is taken as the average energy of the network. Evaluating the average network performance of a cognitive radio network is a very daunting task. The average network performance of a cogntive radio network is solely grounded on its ability to deliver large amounts of packets successfully, which is regarded in literature as transmission efficiency, that is, network packets received over the network packets transmitted. The lower the EPM values of the network, the higher the energy efficiency and an improved joint energy-performance. So by putting these definitions into equation (4) will give:

$$(Transmission Efficiency)^{-\alpha}$$

$$EPM(\alpha) = \left(\frac{Network \ Energy}{Number \ of \ nodes}\right)$$

$$\times \left(\frac{Amount \ of \ Network \ Packets \ Transmitted}{Amount \ of \ Network \ Packets \ Received}\right)^{\alpha}$$
(7)

 $EPM(\alpha) = (Network\ Energy / number\ of\ nodes)$ 

It is imperative to note that this form of energy performance metric has energy as its units due to the fact that the performance component has no units. The unit of EPMs are usually considered as relative metrics, but this very type of EPM is considered as a performance-scaled value of energy. The only difficulty of this EPM is choosing a desireable value for  $\alpha$ . For a value of EPM (0), the metric turns into a

value for  $\alpha$ . For a value of EPM (0), the metric turns into a pure energy metric, while for a value of EPM( $\infty$ ), the metric turns into a pure performance metric.

# 4. Taxonomy of Energy Efficiency Metrics

It is certain that the assessment of a metric is derived from measurements, therefore, a metric is accompanied by accuracy and also additional information to access the energy consumption of the different components and also the entire network. This section focuses on describing energy efficiency metrics in cogntive radio networks while classifying them into separate categories. These metrics are categorised into three major classes which are the facility or component level metrics, the equipment level metrics and the network level metrics.

The component level is regarded as a high level system in which equipment are deployed, the equipment level metric is mainly used in evaluating performance of each equipment in a component while the network level metric is used in accessing the performance of equipment that relates to the coverage and capacity of the network.

#### 4.1 Component Level Metrics

A typical cognitive radio network architecture can either be setup in an ad-hoc based method or in an infrastructural based method. In the ad-hoc manner, no infrastructural support is needed while in the infrastructural based cognitive radio network architecture, CR nodes mostly communicate with each other through radio base stations (RBS). In this kind of network, both the RBS and CR nodes are intergrated parts of the network.

Since a cognitive radio is basically wireless, we use a general model of a simple wireless equipment consisting of basic wireless equipment as shown in Fig. 1. The basic components of the wireless equipment model use for this analysis are antenna(s), radio frequency (RF) front end unit, antennas, support system, baseband processor, power supply and a

detachable component which can be an air condition or climate control. The RF frontend unit is involved in the transmitting and receiving business of the equipment. The component that critically impacts on the energy efficiency of the equipment is the power amplifier which can be found in the transmission chain. The support system involved in linking the different protocol layers. It also perform various control functions and furnishes other network elements in the system with an interface. The power source consist of a power supply which can either be a battery power source or an alternating current (AC) power supply. Lastly, the climate control component which might be an air conditioner can be an optional component depending on the environmental usage.

In analysing the EE metrics of the different components of the equipment, the energy efficiency of the antenna is a function of the antenna's input power and also the radiated power of the antenna. A large amount of the input power is usually radiated away in an antenna with a very high efficiency while most power are absorbed as losses in an antenna with low efficiency. Therefore, the energy efficiency of an antenna can be expressed as the ratio of the power radiated to its input power as in equ. (8).

$$\eta_{Ant} = \frac{P_{radiated}}{P_{input}}.$$
 (8)

The energy efficiency of an antenna can also be measured through its antenna gain. The gain of the antenna helps us to know the amount of power required to transmit in the direction of peak radiation to that of an isotropic source which also radiates in all directions [10]. Therefore, the gain of the antenna can be expressed as:

$$Gain = 4\pi \frac{Radiation\ indensity}{Input\ power\ of\ antenna}.$$
 (9)

In the radio frequency frontend unit, the power amplifier is responsible for most of the component's power consumption. The authors in [36] discussed that in a typical GSM base station (BS), the power amplifiers consumes about 35% of the total power available. The energy efficiency of the power amplifier (PA) is described as the ratio of both the output and input power and this can be written as

$$\eta_{PA} = \frac{P_{output}}{P_{input}} \ . \tag{10}$$

In other to ameliorate the energy efficiency issues relating to the power amplifier, special design techniques can be employed and the power amplifier can be automatically shut down if the transmitter is not transmitting or is in idle mode, a technique currently researched in [37].

Also in the wireless equipment exist a baseband processor which in a cognitive radio is regarded as a digital baseband processor and uses its digital signal processor (DSP) for processing. The energy efficiency of a DSP is often measured by the performance per the unit of energy consumed. The performance metrics is normally given in FLOPS (Floating – point Operations per Second) so the energy efficiency metrics of a DSP of the baseband processor is measured in

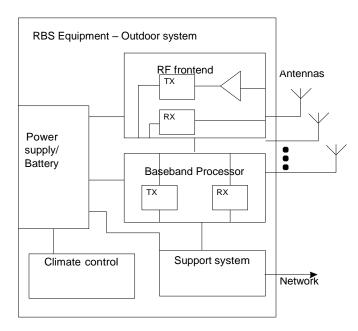


Figure 1. Common key components of a wireless equipment

FLOPS/watt or Million FLOPS/watt. Also in the wireless equipment, the support system which is classified as a computer system uses Million Instructions per Second (MIPS) as its performance metrics. Since a cognitive radio network can automatically sense its environment, learn from sensed information and adapt to the environment, the memory access and the Input/Output (I/O) can significantly influence the performance of the computer support system and also the DSP. The processing capacity of the baseband and computer support system may cause a congestion in the whole equipment which will in turn have an effect on the energy efficiency of the equipment. The energy efficiency from the power supply can be measured by the output power to the input power since its basic function is to provide power to the equipment. The energy efficiency of climate control is not normally evaluated in communication systems but however, its consumed energy is reduced as much as possible. Efforts are also in place to design the use of applied passive cooling techniques in the equipment which will phase out climate control.

## **4.2 Equipment Level Metrics**

When measuring the energy efficiency at the equipment level of a CRN, the main equipment that make up this level which are the RBS and wireless terminals are taken into consideration for analysis. The RBS in this case refers to the radio base stations or wireless access points, while the wireless node terminals refer to the cognitive radio user nodes equipped with a wireless interface. Metrics relating to power per user ratio or the ratio of the total equipment power to the number of cognitive radio users calculated in [Watt/User], and also the energy consumption rating (ECR) which is the ratio of energy consumption to effective full duplex system throughput measured in [Watt/Gbps] are mostly used [38]. The effective full duplex system throughput is responsible for counting the frame overhead of the physical and link layer.

European Telecommunications Standards Institute (ESTI), a standard body responsible for producing globally acceptable

standards for the Telecommunications as well as the Information and Communications Technology (ICT) industries defined energy efficiency metrics and methods to determine energy efficiency of RBS in [39]. The RBS can be seen either as a concentrated RBS or a distributed RBS depending on the design. The concentrated RBS has all its antenna element in one location while the distributed RBS employs a remote radio head (RRH) in proximity to the antenna element so as to minimize feeder loss. At different load conditions, the power consumption is taken into consideration. For a concentrated RBS, its average power consumed in watts is defined as

$$P_{equipment} = \frac{P_{bhl}t_{bhl} + P_{mtl}t_{mtl} + P_{ll}t_{ll}}{t_{bhl} + t_{mtl} + t_{ll}}$$
(11)

Where  $P_{bhl}$ ,  $P_{mtl}$  and  $P_{ll}$  are power consumptions for busy hour load, medium term load and low load repectively and also  $t_{bhl}$ ,  $t_{mtl}$  and  $t_{ll}$  are duration for busy hour load, medium term load and low load repectively. For the distributed RBS, its power consumption for the equipment is given as

$$P_{equipment} = P_{RRH} + P_C \tag{12}$$

where  $P_{RRH}$  is the RRH power consumption and  $P_C$  is the consumed power for the central elements.

Linear and constant energy profiles for evaluating the overall power consumption of a RBS is given in [40]. In the constant energy profile, the consumed power of the base station is assumed to be independent of its traffic load measured in *Erlangs* (A) and it is given as

$$P_{equipment} = P_C = constant \tag{13}$$

From the real-world data of power consumption collected at different base station sites [40], a constant average value of 800W was selected. In the linear energy profile, the constant power in a base station is assumed to be proportional to its traffic load measured in *Erlangs* (A). In the case of no data traffic present, an initiate power  $\sigma$  is added and is given as

$$P_{equipment} = \sigma A + P_C \tag{14}$$

The linear energy profile is likely to be a lot more desirable and appropriate for cognitive radio networks and other future technologies with large data traffic and a more energy consuming digital power amplifiers.

In a view to investigating the energy efficiency of a wireless terminal of a cognitive radio, the whole function of the terminal should be taken into consideration. A mobile phone, mobile computer and other user equipments that employ a cognitive radio for its operation can be seen to have a typical cognitive radio mobile terminal. Since these equipments are usually energy constrained, energy efficiency is one of the important factor during their design. We can agreeably say that the stand-by time and talk time of a fully charged mobile phone is a good measure of its energy efficiency and if the data are controlled by the capacity of the battery, its energy efficiency metrics can be obtained.

#### 4.3 Network Level Metrics

Network level metrics is employed in accessing the overall performance of network equipment while its properties and features relating to coverage and capacity of the network is considered. It is a very challenging task to define network level metrics because a lot of factors like load conditions, coverage area, density of base station (BS), throughput and also the users are to be taken into consideration. Network level energy efficiency takes into consideration not only the energy consumption of the base station equipments but also considers the characteristics and features relating to traffic volume, coverage and capacity of the network. The energy efficiency metric of the coverage area of the network reflects the level of energy that is required to achieve a desired coverage. For example, in the rural areas or a less dense area, the network is hardly loaded. In [41], the energy efficiency for the coverage area of a rural area is expressed as

$$PI_{rural} = \frac{A_{coverage}}{P_{site}}$$
 (15)

where  $A_{coverage}$  is denoted as the coverage area of the base station in  $km^2$  and  $P_{site}$  is the average site power consumption. While on the contrary, in the urban or dense areas, the traffic demand is always greater than the capacity of the BS. Hence, its capacity rather than its coverage is usually demonstrated in an appropriate energy efficiency metric which can be defined as

$$PI_{urban} = \frac{N}{P_{total}}$$
 (16)

Where is N denoted as the number of cognitive radio users and the total power consumed by the BS is represented as  $P_{total}$ .

Also in the view of optimizing energy efficiency in cognitive radio networks, the idle mode and sleep mode have been introduced into the network [42]. In a sleep mode, a station which is not transmiting can temprarily shut down its transceiver to increase energy efficiency and awakens when needed to receive or transmit signals. The ideal metric used to determine the energy efficiency in a sleep mode is the radio of the saved power to the power when the sleep mode is not activated.

When a channel transmitting is involved in deep shadow fading, a lot of energy is wasted for retransmission, therefore in [43], an algorithm was proposed to counter this problem. An adaptive automative Repeat-reQuest (ARQ) algorithm was developed where the ARQ process which is suppose to correct and control errors at the link layer is freezed the moment the channel condition becomes unfavourable. An energy efficiency metric was presented in [10] to access the energy saving performance and it is given as:

$$\mathcal{G} = \frac{total \ amount \ of \ data \ delivered}{total \ amount \ of \ energy \ consumed}$$
 (17)

At the network layer of a CRN, the energy efficiency is also concerned in the reporting of sensed signals. In an ad-hoc infrastructural connection of cognitive radios, this might have a major impact. It does not only impact on energy

Table 1: Energy Efficiency Metrics Classification

Level	Units	Description
Component Level	Power Amplifier efficiency is a ratio	This is the ratio of the power output to the input power
	Power Usage Efficiency is a ratio ≥ 1.	The ratio of the total power consumed by component to the total power consumed by equipment
	Data Centre Efficiency is a percentage (%)	The ratio of the output power to the ratio of the input power
	MIPS/Watt	Millions of Instructions per Second Watt
	MFLOPS/Watt	Millions of floating-point operations per Second per Watt
Eqiupment Level	Watt/User	The ratio of total equipment power to the number of CR users
	Watt/Gbps	The ratio of energy consumed to the effective system capacity
	Gbps/Watt	The ratio of useful work done to the power consumed
	A(Erlangs)	Power consumption of base station relating to its traffic load
Network Level	Km²/Watt	The ratio of the area covered to the site power comsumption
	W W 2	The power consumed per unit area
	Watt/Km²	THE CORP. LANGE TO SECOND STATE OF THE CORP.
	User/Watt	The ratio of CR users communicating during peak traffic hours to the site power consumed
	Watt/bps/m²	The energy consumed with respect to the number of transferred bits and the coverage area
	J/bit/m²	The energy consumption relating to the number of transferred bits and the coverage area

savings of the network but can create network partitioning where by the same node is frequently chosen for reporting sensed signals. Their batteries get depleted rapidly and the network connectivity is affected. To solve the network partition issue, cognitive radio nodes in the network should be aware of the residual energy of each node before sensed signals are reported. So in evaluating the energy saving performance of the network, energy aware reporting metrics are also refered to as energy efficiency metrics. Two impotant factors are also taken into consideration which are the residual battery level of each node and the energy cost of the reporting node. The reporting energy cost is a direct function of the distance between two neigbouring nodes and their residual battery levels drawn into a cost function, where the cost is inversely proportional to the battery level.

# 5. Cognitive Radio Network Performance Metrics

In a cognitive radio network, unlicensed or secondary users usually employ cognitive radio to identify vacant spectral band for communication and efficient spectral usage. This is achieved by a spectrum sensing process where cognitive

radios are able to monitor available spectral band, capture their information and identify vacant spectrum holes for communication. For efficient spectrum sensing and in view of mitigating the effects of local spectrum sensing issues such as multipath fading and shadowing, cooperative spectrum ensing (CSS) is used. In CSS, multiple secondary users perform local spectrum sensing in an independent manner and then makes a binary decision and forwards this decision to the base station or fusion center for a final decision about the spectrum availability [44]-[46]. The authenticity of these spectral availability information for communication can be accessed using some sensing quality specifications. These features compose of the performance metrics of the cognitive radio network. The overall performance can be evaluated by the detection accuracy of the global decision taken by the secondary base station or fusion center. However, the local independent spectrum sensing process of each CR user give rise to a binary hyopthesis-testing problem of having

Primary user is absent:  $H_0$ Primary user is present:  $H_1$  The main metrics used in accessing the performance the spectrum sensing of a cognitive radio are the probabilities of correct decision which is denoted as  $Probability\{Decisn = H_1|H_1\}$  and

 $\label{eq:probability} \left\{ \begin{aligned} & \operatorname{Probability} \left\{ \operatorname{Decisn} = H_0 | H_0 \right\}. \end{aligned} \right. \text{ Also is the probability of false alarm which is given by } & \operatorname{Probability} \left\{ \operatorname{Decisn} = H_1 | H_0 \right\} \end{aligned} \\ \text{and the probability of miss detection which is also denoted as } & \operatorname{Probability} \left\{ \operatorname{Decisn} = H_0 | H_1 \right\}. \end{aligned} \\ \text{Considering a CRN composing of } & \text{N number of secondary unlicensed CR users and a base station as shown in fig 2, the base station manages all the local spectrum sensing information delivered by the secondary CR users in the network. We assume that each CR in the network performs spectrum sensing independently.}$ 

In order to study the performance metrics, we consider an  $i^{th}$  SU using energy detection spectrum sensing [47]. The local spectrum sensing problem is to decide between the resulting two hypothesis:

$$x_{i}(t) = \begin{cases} h_{i}s(t) + w_{i}(t), H_{1} \\ w_{i}(t), H_{0} \end{cases}$$

$$(18)$$

where  $x_i$  is the signal received at the  $i^{th}$  SU,  $h_i$  is the channel gain between the  $i^{th}$  SU and the PU, s(t) is the transmitted signal from the primary transmitter and  $w_i(t)$  is denoted as the white additive Gaussian noise. We assume that the channel used in sensing is time-invariant when sensing is in progress. The energy detection sensing is carried out by measuring the energy of the signal received over an observation time window denoted as T. The energy collected in the frequency domain is given as  $Y_i$ , which serves as a statistical decision with the distribution below [48]-[51].

$$Y_i \sim \begin{cases} \chi_{2v}^2 \left( 2\gamma_i \right) H_1 \\ \chi_{2v}^2 H_0 \end{cases} \tag{19}$$

where  $\chi^2_{2v}\left(2\gamma_i\right)$  is a noncentral chi-square distribution with v degrees of freedom and a noncentrality parameter  $2\gamma_i$ .  $\chi^2_{2v}$  denotes the chi-square distribution with 2v degrees of freedom. The instantenous SNR of the signal received at the  $i^{th}$  SU is  $\tau_i$  and  $v{=}TW$  which is the product of the time and bandwidth (W). In comparing the energy  $Y_i$  with a defined threshold  $\xi_i$ , the PU signal detection is carried out. Therefore, the probability of detection is given as  $p_d^i = Prob\left\{Y_i > \xi_i | H_1\right\}$  and the probability of false alarm is denoted as  $p_f^i = Prob\left\{Y_i > \xi_i | H_0\right\}$ . The average probability of detection, false alarm and missed detection over Rayleigh fading channels are given below as in [52].

$$p_{d}^{(i)} = e^{-\frac{\xi_{i}}{2}} \sum_{p=0}^{\nu-2} \frac{1}{p!} \left(\frac{\xi_{i}}{2}\right)^{p} + \left(\frac{1+\overline{\tau_{i}}}{\overline{\tau_{i}}}\right)^{\nu-1} \times \left[e^{-\frac{\xi_{i}}{2(1+\overline{\tau_{i}})}} - e^{-\frac{\xi_{i}}{2}} \sum_{p=0}^{\nu-2} \frac{1}{p!} \left(\frac{\xi_{i}\overline{\tau_{i}}}{2(1+\overline{\tau_{i}})}\right)^{p}\right]$$
(20)

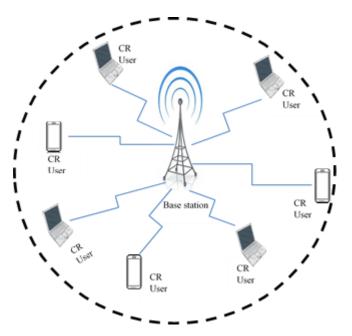
$$p_f^{(i)} = \frac{\Gamma\left(\nu, \frac{\xi_i}{2}\right)}{\Gamma(\nu)} \tag{21}$$

$$p_m^{(i)} = 1 - p_d^{(i)} \tag{22}$$

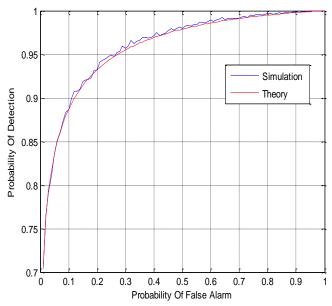
Receiver performance is quantified by depicting the receiver operating characteristices (ROC) curves. This curves serves as an important tool in selecting and studying the metric performance. In Fig. 3, the complementary reciever operating characteristics (ROC) curves is plotted to investigate the performance of the probability of detection over the probability of false alarm metrics at an SNR of -10db. For lesser errors made by a cognitive radio user in sensing, there is an increase in the detection accuracy which is measured by the probability of detection metric. Both the simulated and the computed probability of dectection are plotted in the same figure. The reason for a slight mismatch for both curves is that the theoretical derivation is for an ideal set-up while the simulation may tend to have random effects as per simulation settings and intrinsic limitations.

Figure 4 also shows the ROC plot for the performance of the probability of miss detection with a corresponding probability of false alarm metrics for both simulation and theory computation. When a cognitive radio user misses a detection in sensing a spectrum, the probability of false alarm is seen to be very small.

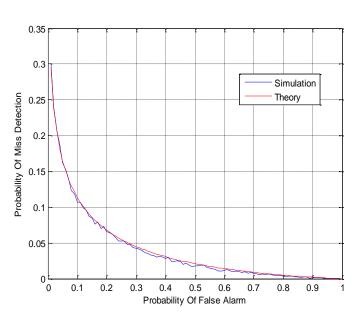
Figure 5 investigates the performance of the detection accuracy of the cognitive radio user measured by the probability of detection at different SNR. It is seen that there is a better performance in the probability of detection with an increasing value of SNR. That means SNR plays an important role in the performance of the probability of detection metric.



**Figure 2.** A Cognitive radio Network with *N* number of CR users and a Base station



**Figure 3.** ROC plot for the probability of detection vs the probability of false alarm



**Figure 4**. ROC plot for the probability of miss detection vs the probability of false alarm

In a cooperating spectrum sensing environment, each secondary CR users forward their 1-bit decisions to a fusion center for a final decision [53]. Let  $D_i \in \{0,1\}$  denoting the local spectrum sensing results of an *i*th CR user where  $\{0\}$  indicates the absence of PU in the spectral band and  $\{1\}$  indicates the presence of PU in the spectral band. The fusion center however fuse all 1-bit decisions together using a logic fusion rule.

$$Z = \sum_{i=1}^{N} D_i \begin{cases} \ge k, H_1 \\ < k, H_0 \end{cases}$$
 (23)

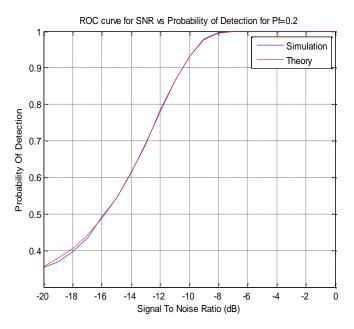
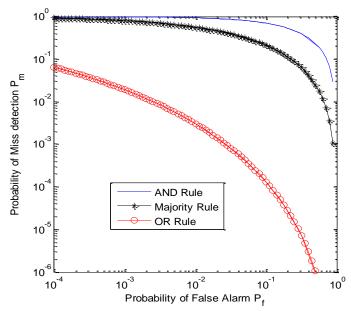


Figure 5. ROC plot for probability of detection vs SNR



**Figure 6.** Cooperative spectrum sensing performance metrics for various fusion rules

In OR rule, the FC will declare the spectrum busy when at least one of the CR users detects a PU signal, otherwise the spectrum band is regarded as vacant. In the AND rule, the spectrum band is declared busy by the FC only when all the CRU detect the PU signal, otherwise the band is regarded as vacant. While in the MAJORITY rule, the FC will declare the spectrum busy if half or more CR users detects the PU signal.

In equ (23), it can be seen that the OR logic rule corresponds to the case where there is at least k out of N CR users inferring  $H_1$  which means the PU is present and inferring  $H_0$  which means the PU is absent. The AND rule also corresponds to the case of k=N. From the logic fusion rules, it can be seen that the OR fusion rule is very conservative for CR users to access the licensed spectrum band, hence, the

chance of causing interference to the PU is minimized. Figure 6 shows the performance of the probability of missed detection and the probability of false alarm metrics for cooperative spectrum sensing with the different fusion rules. The OR fusion rule gives a lower probability of missed detection than the other fusion rules. In [54], it was also confirmed that the OR rule gives a better performance than other rules hence the OR rule is evaluated below.

The false alarm probability of CSS based on the OR rule is given as

$$Q_f = 1 - \prod_{i=1}^{N} (1 - p_f^{(i)})$$
 (24)

while the missed detection probability of CSS is given as

$$Q_m = \prod_{i=1}^{N} p_m^{(i)}$$
 (25)

Assuming every CR user achieves identical probability of false alarm and probability of missed detection in the local spectrum sensing (i.e  $p_f = p_f^{(i)}$  and  $p_m = p_m^{(i)}$ ,  $\forall i = 1, 2, ..., N$ ), the probability of false alarm and probability of missed detection of CSS will then be denoted as

$$Q_f = \left(1 - p_f\right)^N \tag{26}$$

$$Q_m = \left(p_m\right)^N \tag{27}$$

It is also worthy to note that he detection probability of the CSS can be written as  $Q_d = 1 - Q_m$ 

Error probability or the false-decision probability is also a widely used performance metric. It defines the probability of making a wrong spectrum sensing decision which is the combination of both the probability of false alarm and probability of missed detection metrics and it is expressed as

$$P_{e} = p(H_{0}) p_{f}^{(i)} + p(H_{1}) (1 - p_{d}^{(i)}) = p(H_{0}) p_{f}^{(i)} + p(H_{1}) p_{m}^{(i)}$$
(28)

where  $p(H_0)$  means that the spectrum is vacant,  $p(H_1)$  means that the spectrum is used, low values of  $p_{\varepsilon}$  indicates the high accuracy of the spectrum sensing decision by the cognitive radio user which will positively influence the other aspects of the network performance.

The total energy consumption and the achievable throughput of a cognitive radio network can also serve as a vital evaluation metrics of a cognitive radio network performance. The average achievable throughput (A) is seen as the average successfully transmitted data of transmitting cognitive radio users, while the energy consumption  $(E_c)$  can be seen as the average energy consumption at each state of the cognitive radio users activity. The achievable throughput is measured in bits while the energy consumption is measured in joules. It can be noticed that these metrics are directed affected by the

detection accuracy of the cognitive radio users in the network as a high achievable throughput will result to a higher energy consumption and vice versa. Hence a standardised metric that combines both achievable throughput and energy consumption is generally used and it is called energy efficiency as seen in equation (1) which can be equally written as

$$\mu = \frac{A}{E_c} \tag{29}$$

It is imperative to note that energy efficiency  $(\mu)$  is a comprehensive metric that encompasses all other network perfromance metrics including the detection accuracy, achievable throughput and energy consumption in all states of the cognitive radio user's activity. So we can regard it as a fair indicator of the whole cognitive radio network performance. Also, since there lies a connection between throughput and energy, energy efficiency  $(\mu)$  has been generally accepted as an important metric capable of achieving the balance between the various parts of cognitive radio network performance.

### 6. Conclusions

Energy efficiency in CRNs has been a growing concern in recent times as the network tends to ensure high *Quality of service* (QoS) to its users. Before the energy efficiency issues relating to the network are tackled, a standard and accurate indicator for measuring and evaluating energy efficiency needs to be realized. In this article, we provided an overview of energy efficiency metrics in CRNs relating to its design and operation. Metrics are categorized into the component, equipment and network levels for easy analysis. The performance metrics of the network was also analyzed where the probability of false alarm, probability of detection and probability of missed detection metrics were evaluated. The error of probability was also studied and acknowledged as a good measure of the performance of a cognitive radio network.

We believe that determining an accurate energy efficient metric for cognitive radio networks will pave a solid foundation for more research in the field of greener communications. It will also be a crucial step in enabling an energy efficient network and also ensuring a sustainable growth in the wireless communication industry.

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